

# TII National Roads Conference 2018

## TII's New Procedures and Approvals Requirements

28/09/18

# Introduction

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- Context for the new Procedures and Approval Requirements
  - Project Management Guidelines / Cost Management Manual
  - Pavement Asset Repair & Renewal
  - Requirements for Measuring & Pricing
  - Change Control
  - Frameworks
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# Context

- Code of Practice for the Governance of State Bodies 2016
  - Statement on Internal Control
  - Assurance Statements
- Public Spending Code 2013
  - Sanctioning Authority
  - Sponsoring Agency



## Code of Practice for the Governance of State Bodies



## The Public Spending Code

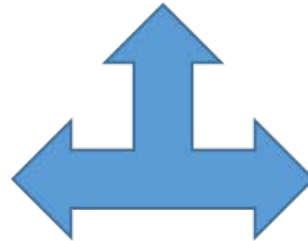
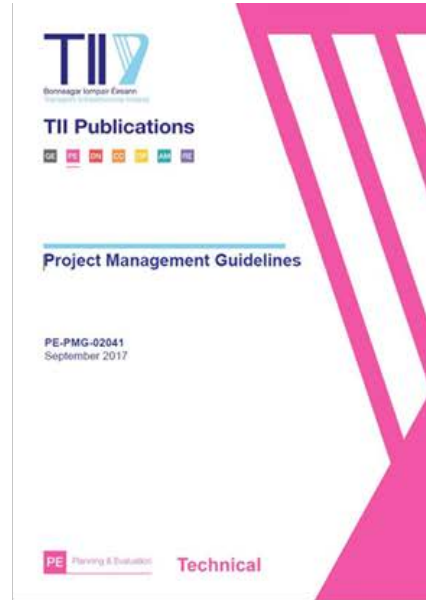
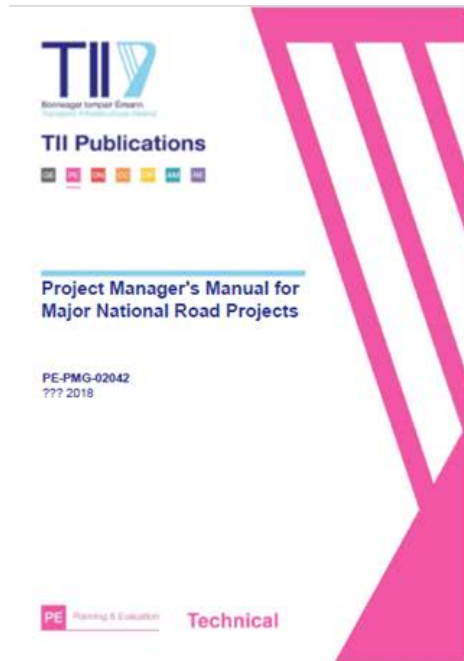
**Expenditure Planning, Appraisal  
& Evaluation in the Irish Public Service:  
Standard Rules & Procedures**



Central Expenditure Evaluation Unit  
Department of Public Expenditure and Reform  
2-4 Merrion Row  
Dublin 2  
Tel: +353 1 676 7571  
LoCall: 1890 66 10 10  
Fax: +353 1 678 9936  
[vf@m@per.gov.ie](mailto:vf@m@per.gov.ie)

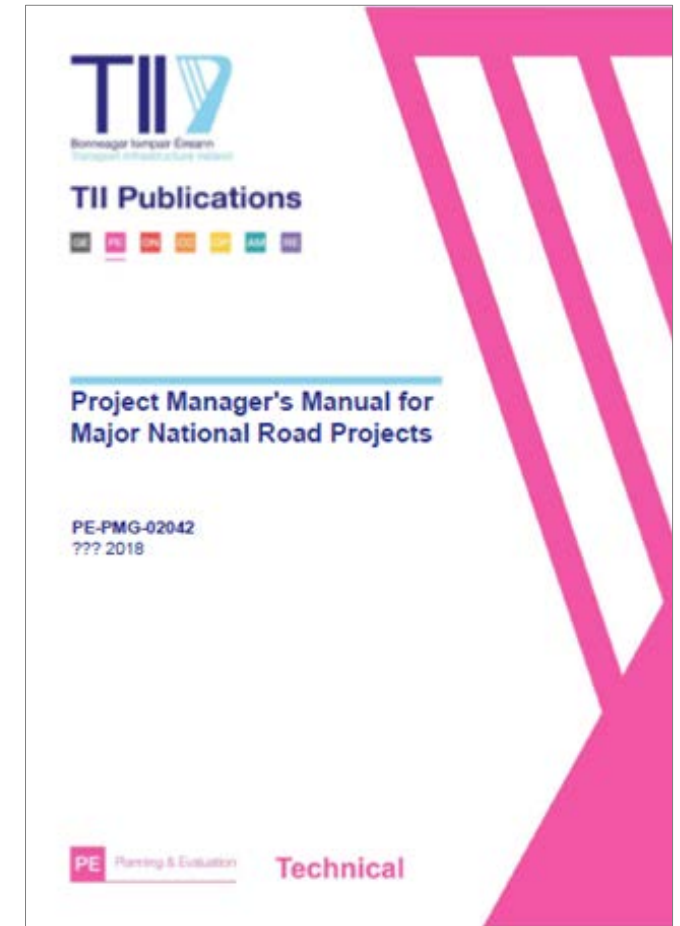
August 2016

# Project Management Guidelines



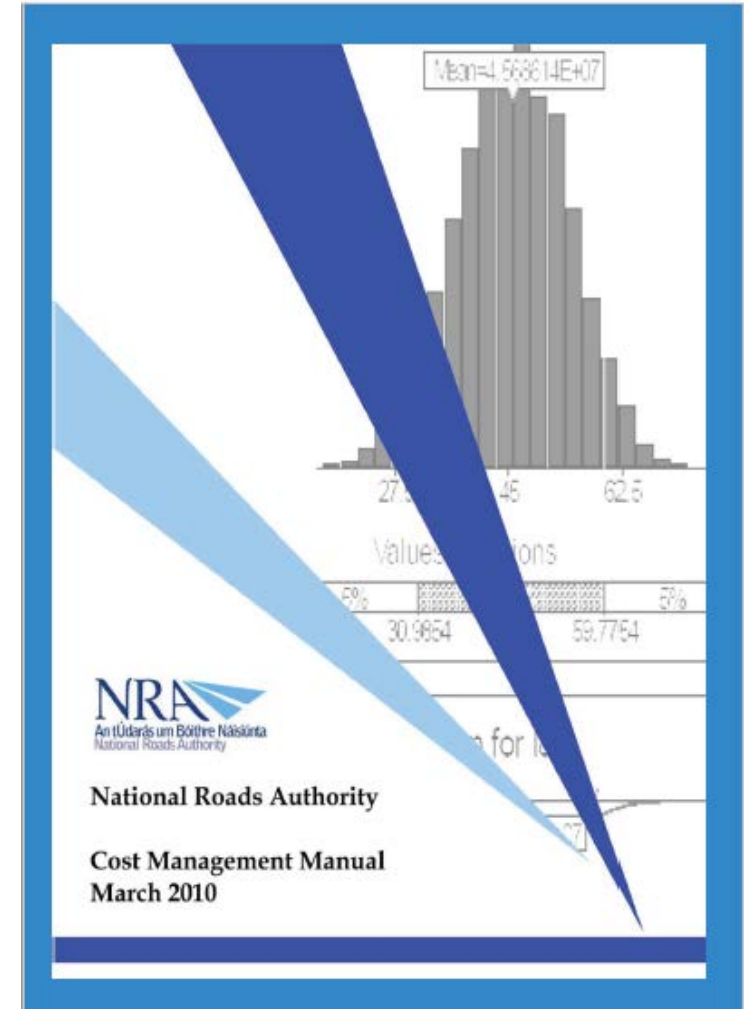
# Project Management Guidelines

- Update to PMG's to publish Q1 2019
- Project Managers Manuals to publish Q1 2019
- Distinguish between roles of Sanctioning Authority & Sponsoring Agency
- Assurance from the Sponsoring Agency at the end of each phase
- Enhanced role for the Steering Committee at Phases 1 – 4 to guide the project



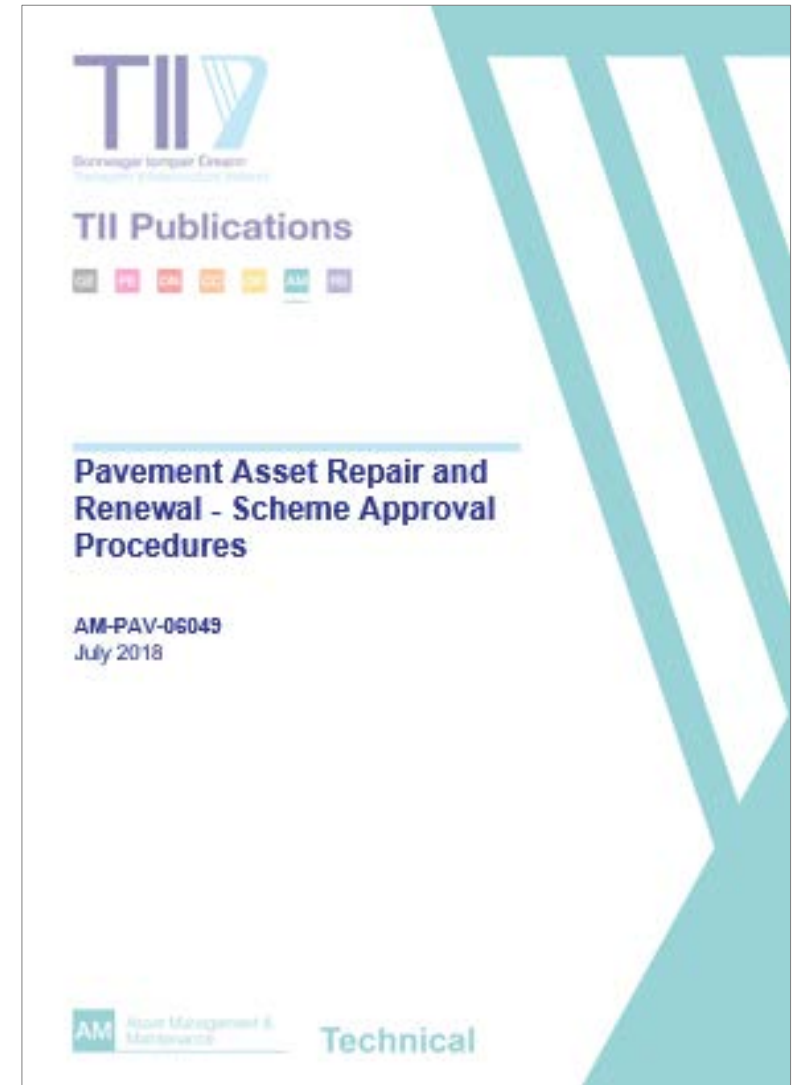
# Cost Management Manual

- General update required to incorporate into TII Publications
- To publish in Q1 2019
- Processes to remain generally similar
- Adjustment for compatibility with the 2017 Project Management Guidelines
  - Addition of Phase 0
  - Adjustment to Phases 3 & 4



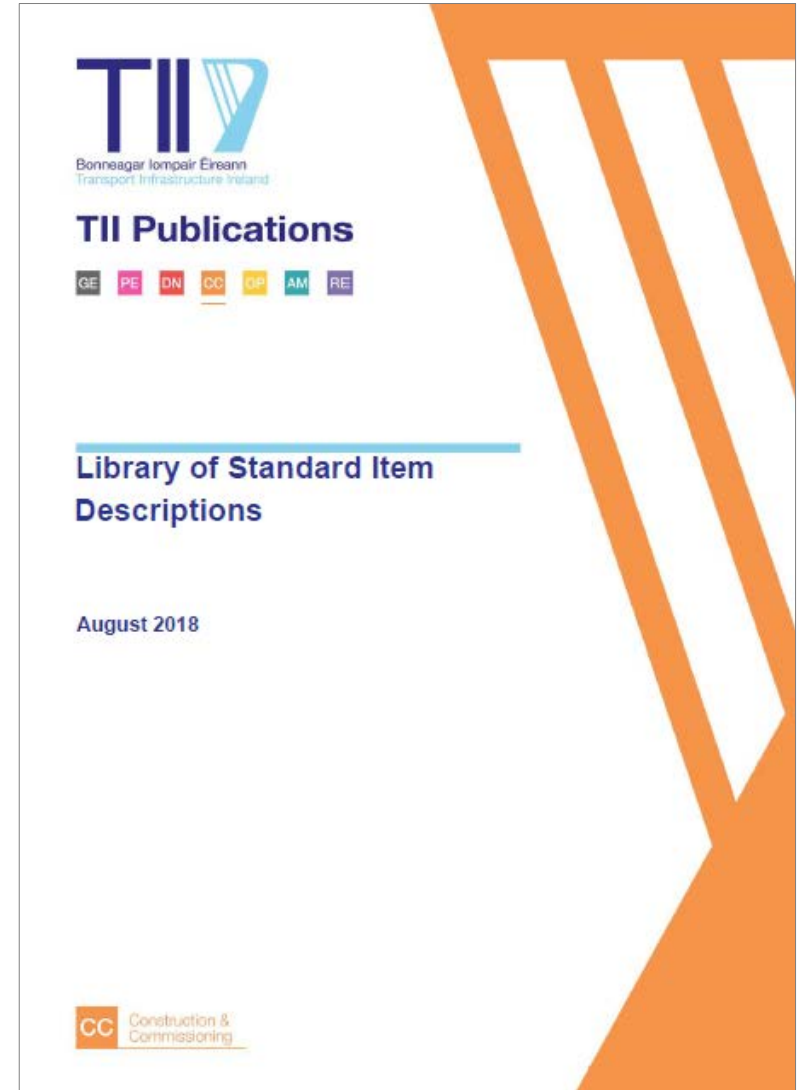
# Pavement Asset Repair & Renewal

- Update to AM-PAV-06049 (previously HD30)
- Streamlined Process flowchart to reflect Sanctioning Authority and Sponsoring Agency roles
- 3 Gateways:
  - Approval of PARR
  - Award of Contract
  - Assurance of Project Delivery



# Requirements for Measuring & Pricing

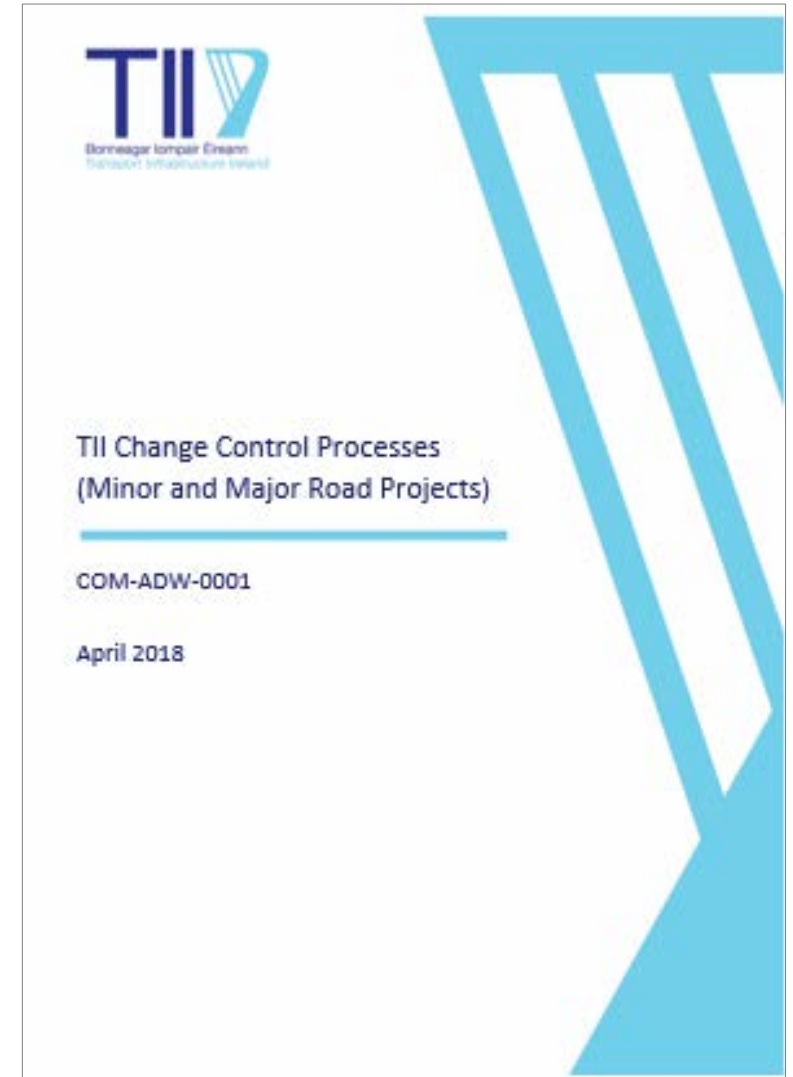
- Re-introduction of BOQ to GCCC Contract
- Issues which have arisen
- Update to issue in Q4 2018 taking account of departures received
- No amendments permitted without an approved departure
- Departure application will need to give reasons for amendments
- Library of Standard Item Descriptions is available on the TII Publications website





# Change Control

- Changes proposed to the approval thresholds
- Objective of Changes
- In parallel IT based change control system under preparation
- Proposed roll out dates
  - TII managed Projects initially
  - Other Projects



# Frameworks

- **Currently Available Frameworks**
  - Technical Advisory Services – Lots 1a, 1b, 1c. Expire November 2019
  - Claims Advisory Support (2 lots). Expires August 2019
  - Quantity Surveyor Services. Expires August 2019
- **Proposed Frameworks**
  - Technical Advisory Services – Lot 1d for Major Projects Phases 1- 4
    - In place October 2018 – 2 year duration
  - Legal Services
    - In place Q4 2018 – 2 year initial duration + 2 optional



**NATIONAL ROADS AUTHORITY (NRA) will describe itself for Operational Purposes as Transport Infrastructure Ireland (TII)**

**Framework Agreement**

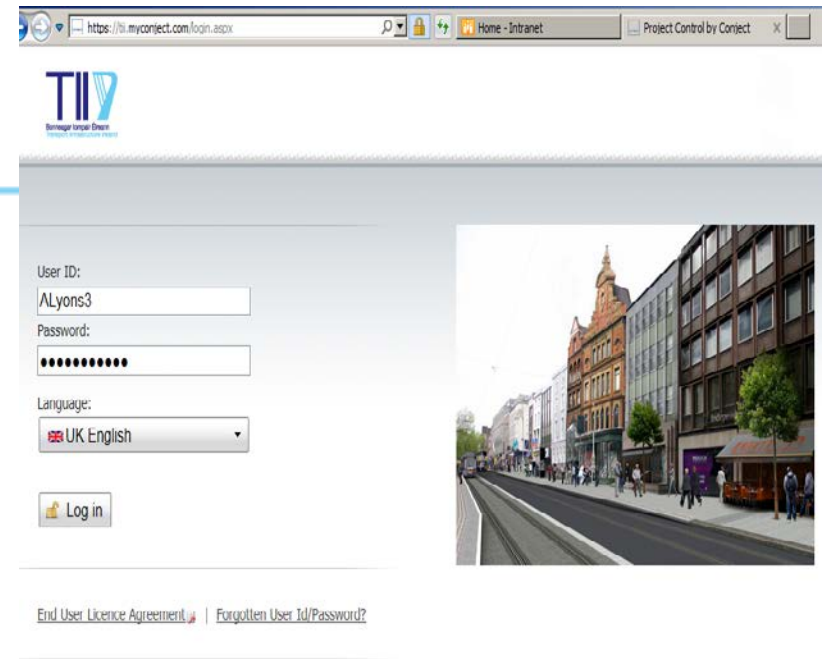
**for**

**Technical Consultancy Services Framework with Local Authorities and the National Roads Authority acting as Client**

**Schemes with an estimated construction value of greater than €20m – PMG Phases 1 to 4**

# Project Management Software

- What is it?
- Tailored to GCCC
- Pilot has commenced
- Mayo County Council
- Report next year
- Pilots still welcome



**PW-CF4 Dashboard**

**Document Registers**

Register Name	For Action	For Information	All

**Queries / Risk**

Process	For Action	For Information	All
Employer's Query			9
Request for Information	-34	8	229
Risk Register			

**Contractor Submissions**

Process	For Action	For Information	All
(PW-CF4) Contractor Submission 4.7	-1	30	19 906
(PW-CF4) Material Approval Request 4.7	-8	21	21 502
(PW-CF4) Sample Approval Request 4.7	-170	6	7
(PW-CF4) Monthly Report 4.10			18

**Proposed Instructions**

Process	For Action	For Information	All
(PW-CF4) Instruction Proposal 10.4			17 23
(PW-CF4) Contractor Proposal 10.4	-88	1	8
(PW-CF4) Employer's Representative Determination Notice 10.5			302 203

**Claims**

Process	For Action	For Information	All
(PW-CF4) Notice 10.3			5 282
(PW-CF4) Contractor Claim 10.3	2	16	2 203
(PW-CF4) Employer's Claim 10.9			
(PW-CF4) Contractor's Response Form 10.9			
(PW-CF4) Employer's Representative Determination Notice 10.5			302 203

**Value Engineering**

Process	For Action	For Information	All
(PW-CF4) Value Engineering Proposal 4.8			
(PW-CF4) Employer's Representative Determination Notice 10.5			302 203

**Communications**

Process	For Action	For Information	All
(PW-CF4) Change Order 4.4.1 (1) (b)			57 109
(PW-CF4) Contractor's Representative Communication	-356	8	22 152
(PW-CF4) Direction 4.4.1 (1) (a)			2 44
(PW-CF4) Employer's Representative Communication			14 166
Transmittal			47
Employer's Draft Forms for Issue			34 242

**Payment**

Process	For Action	For Information	All
(PW-CF4) Payment Application 11.1			19
(PW-CF4) Payment Certificate 11.1			13 23